

Audit Title	Risk Rating	Status as at 01/04/2024	Corporate Priority	Days
Level 1 – Cross Cutting Reviews – Council Governance & Control				
Corporate Governance Review	Med/High	Planned	Cross Cutting	25
Risk Management	Med/High	Planned	Cross Cutting	15
Information Governance	Med	Planned	Cross Cutting	20
Corporate Performance Management	Med	Planned	Cross Cutting	15
FOI/SAR/EIR	Med	Planned	Cross Cutting	15
New Risk Management System & Policy Review	New	Planned	Cross Cutting	15
Debt Recovery	New	Planned	Cross Cutting	15
Total				120
Level 2 – Fundamental Systems - Section 151 Officer Assurance				
Financial Services – (1) Annual Audit, (2) 2-yearly Audit, (2+Follow-up)- 2 yearly Audit plus follow-up.				
Employee Services (2)	Med/High	Planned	Section 151 Assurance	30
Accounts Receivable (1)	High	Planned	Section 151 Assurance	35
Business Rates (2+Follow-up)	Med	Planned	Section 151 Assurance	20
Treasury - Borrowing & Investments (2)	Med	Planned	Section 151 Assurance	18
Accounts Payable (2 + Follow-up)	Med	Planned	Section 151 Assurance	35
Cash (2)	Med	Planned	Section 151 Assurance	30
Council Tax (2 + Follow-up)	Med/High	Planned	Section 151 Assurance	30
Capital Accounting *	Med	Planned	Section 151 Assurance	25
Main Accounting System (2)	Med	Planned	Section 151 Assurance	20
Total				243
Level 3 – Service Level Audits – Other Assurance				
Education Planning & Resources	-	See Appendix 3	Education	108
Vulnerable Learner Service	-	See Appendix 3	Education, Safeguarding & Poverty	10
Education Grants & Other	-	See Appendix 3	Education, Safeguarding & Poverty	33
Child & Family Services	-	See Appendix 3	Safeguarding	23
Adult Services	-	See Appendix 3	Safeguarding	20
Adult Services: Tackling Poverty & Directorate Services	-	See Appendix 3	Safeguarding	35
Adult Services: Partnerships & Commissioning	-	See Appendix 3	Safeguarding	25
Building Services	-	See Appendix 3	Economy & Infrastructure, Safeguarding	35
Property Services	-	See Appendix 3	Economy & Infrastructure, Safeguarding	5
Waste Management & Parks	-	See Appendix 3	Economy & Infrastructure, Resource & Biodiversity	25
Highways & Transportation	-	See Appendix 3	Economy & Infrastructure	56
Housing & Public Health	-	See Appendix 3	Poverty, Safeguarding	89
Cultural Services	-	See Appendix 3	Economy & Infrastructure	60

Planning & City Regeneration	-	See Appendix 3	Economy & Infrastructure, Resources & Biodiversity	30
Communications & Marketing	-	See Appendix 3	Transformation & Council Development	5
Financial Services	-	See Appendix 3	Section 151 Assurance	100
Digital & Customer Services	-	See Appendix 3	Transformation & Council Development	30
Legal, Democratic Services & Business Intelligence	-	See Appendix 3	Monitoring Officer Assurance	20
Commercial Services	-	See Appendix 3	Section 151 Assurance	3
Human Resources & Service Centre	-	See Appendix 3	Transformation & Council Development	48
Contract Audits	-	See Appendix 3	Transformation & Infrastructure	35
Computer Audits	-	See Appendix 3	Transformation & Council Development	67
Projects & Special Investigations	-	See Appendix 3	Section 151 Assurance	65
Miscellaneous Audits	-	See Appendix 3	Section 151 Assurance	30
Total				957
Grand Total				1320
Other Activities				
Staff Training				48
Holidays, Sickness Absence, Special Leave				652
Admin, Planning, Control, Clerical Support, Audit Programmes, Appraisals				205
Contingency				41
Vacancy Provision				100
Total				1046
Grand Total				2366

* Audits deferred from 2023/24 plan.